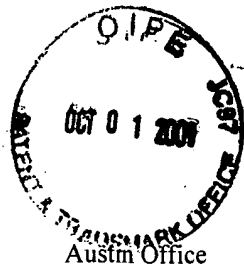


Receipt



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Writer's Direct Dial
(972) 731-2299
email: rcarroll@crtlaw.com

September 25, 2001

Commissioner for Patents
Attn: Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

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NOV 14 2001
Group 2100

Re: Application No.: 09/919,436
Filing Date: 07/31/2001
Attorney Docket No.: IDF 1541 (4000-02100)

Sir:

Enclosed are the following documents for filing:

1. Request for Corrected Filing Receipt;
2. Copy of Filing Receipt;
3. Copy of Request and Certification Under 35 U.S.C. 122(b)(2)(B)(I) filed with original application;
4. Copy of acknowledgement postcard from original application;
5. Acknowledgement postcard; and
6. This transmittal letter.

Please date-stamp the acknowledgment postcard and return it to the undersigned to confirm receipt of the above documents.

Thank you for your assistance in this matter.

Best regards,

Rodney B. Carroll

Enclosures



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Shrikant Jannu, et al.

Serial No.: 09/919,436

Confirmation No. 4193

Filed: 07/31/2001

For: Middleware Brokering System

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GROUP ART UNIT: 2152

EXAMINER: Not Yet Known

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Commissioner for Patents
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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, Attn: Office of Initial Patent Examination Customer Service Center, Washington, D.C. 20231 on

September 25, 2001
(Date of Deposit)

Trisa Ryan

Att'y Docket No. IDF 1541 (4000-02100)

REQUEST FOR CORRECTED FILING RECEIPT

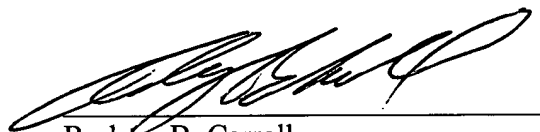
Commissioner:

Attached is a copy of the official Filing Receipt received from the PTO in the above application for which issuance of a corrected Filing Receipt is respectfully requested. The Filing Receipt is in error in that it indicates "No" for the Non-Publication Request and indicates a Projected Publication Date of February 6, 2003. Applicants submitted a Request and Certification Under 35 U.S.C. 122(b)(2)(B)(I) at the time of filing the application. A copy of the Request and

Certification Under 35 U.S.C. 122(b)(2)(B)(I) as filed with the original application along with a copy of the acknowledgement postcard is enclosed.

The correction requested is not due to any error by Applicants and no fee is due.

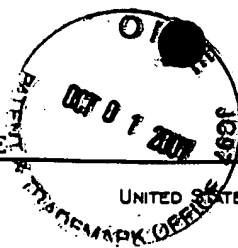
Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Rodney B. Carroll', written over a horizontal line.

Rodney B. Carroll
Reg. No. 39,624
Conley, Rose & Tayon, P.C.
5800 Granite Parkway, Suite 400
Plano, Texas 75204
(972) 731-2288



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
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✓ 09/19,436 ✓ 07/31/2001 2152 728 1541 (4000-02100) 4 ✓ 21 ✓ 1

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SPRINT LAW DEPT.

CONFIRMATION NO. 4193

FILING RECEIPT



OC000000006537154

Steven J. Funk
Sprint Law Department
8140 Ward Parkway
Kansas City, MO 64114

Date Mailed: 09/10/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

- ✓ Shrikant Jannu, Irving, TX;
- ✓ Dora Potluri, Irving, TX;
- ✓ Denis Tsai, Dallas, TX;
- ✓ Wing Lee, Irving, TX;

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Group 2100

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 09/07/2001

Projected Publication Date: ~~02/06/2003~~Non-Publication Request: ~~No~~ Yes

Early Publication Request: No

Title

Middleware brokering system

Preliminary Class

**REQUEST AND CERTIFICATION
UNDER
35 U.S.C. 122(b)(2)(B)(I)**

First Named Inventor : **Shrikant Jannu, et al.**
 Title: **Middleware Brokering System**
 Attorney Docket Number **1541 (4000-02100)**
 Express Mail Label No. **EL 921453828 US**

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

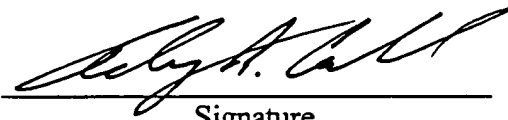
7-31-01

Date

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Signature

Rodney B. Carroll

Registration Number 39,624

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**



For: Middleware Brokering System

Serial No.: Not Yet Assigned

Date Filed: Concurrently Herewith

Inventor: Shrikant Jannu, et al.

IDF 1541

4000-02100

Date 7/31/01

This will acknowledge receipt in the U.S. Patent and Trademark Office of Utility Patent Application Transmittal, Specification (26 pages), Abstract (1 page), Informal Drawings (4 sheets, Figs 1-4), Fee Transmittal, Declaration & Power of Attorney (3 pages), Assignment (3 pages), Recordation Cover Sheet (1 page), Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i) and this postcard receipt, mailed by U.S. Postal Service as first-class mail, Express Mail Mailing Label EL 921453828 US, postage prepaid on July 31, 2001.

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8/30/01

JC979 U.S. PTO

09/919436



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Bib Data Sheet

CONFIRMATION NO. 4193

SERIAL NUMBER 09/919,436	FILING DATE 07/31/2001 RULE	CLASS 709	GROUP ART UNIT 2153	ATTORNEY DOCKET NO. 1541 (4000-02100)
APPLICANTS Shrikant Jannu, Irving, TX; Dora Potluri, Irving, TX; Denis Tsai, Dallas, TX; Wing Lee, Irving, TX;				
** CONTINUING DATA *****				
** FOREIGN APPLICATIONS *****				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 09/07/2001				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged _____ Examiner's Signature _____ Initials _____	STATE OR COUNTRY TX	SHEETS DRAWING 4	TOTAL CLAIMS 21	INDEPENDENT CLAIMS 1
ADDRESS Steven J. Funk Sprint Law Department 8140 Ward Parkway Kansas City ,MO 64114				
TITLE Middleware brokering system				
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		<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)		
		<input type="checkbox"/> 1.18 Fees (Issue)		
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